

**Inviting Proposals for Expression of Interest by Auditors
IFAD assisted Post Tsunami Sustainable Livelihood Programme
(Funded by IFAD, TamilNadu)**

**Internal Auditor to audit accounts of six existing project districts, viz,
Thiruvallur, Kancheepuram, Villupuram, Cuddalore, Nagapattinam and
Kanyakumari and six additional districts, viz, Thiruvavur, Thanjavur,
Pudhukotai, Ramanathapuram, Tuticorin and Thirunelveli for the year 2017-18**

Expression of Interest is invited from the Chartered Accountants to who fulfill the following minimum conditions to be engaged as Internal Auditor of PTSLP to take up Internal Audit of Accounts of the Project for the year 2017-18.

Terms and Conditions:

- The firm shall have a standing of at least ten years in the profession and should be empanelled with CAG for "Major Audits". C.A. firm should be a firm having independent legal existence, registered under the applicable Act. [Submit proof of CAG Empanelment and Registration Certificate]
- C.A. firm expressing interest should have an annual turnover of Rs 30 **Lakhs** or more in each of the last three financial years. [Relevant documents viz, Audited financial statements and a certificate from Chartered Accountant in support of satisfying the criteria should be submitted]
- Experience of having undertaken at least 2 similar assignments (Internal Audit Assignments) with Central/state Government/externally aided projects **in India**, in last 10 years.
- Experience of having undertaken at least 1 similar assignments (Internal Audit Assignments) with Central/state Government/externally aided projects **in Tamil Nadu**, in last 5 years.
- The Chartered Accountancy firm shall have at least 6 of chartered accountants / CA Partners. The break up details are (i) Partners of Firm i.e. number of full time chartered accountant partners (ii) paid chartered accounts of firm must be furnished.
- Consultant must submit the information along with EOI.
- The Auditor should be familiar in local language – Tamil.

The RFP / ToR are available in our website address given below. The Project Director, PTSLP reserves the right to modify any of the conditions while evaluating the Expression of the Interest and to accept or reject any/all applications without assigning any reason whatsoever. The interested Auditor Firms are requested to submit the proposal of Expressing of Interest in sealed envelope with superscription on it “**EoI for Internal Audit of PTSLP – 2017 – 18**” not later than 30.06.2017, 4.00 pm to the address given below.

The Principal Secretary/Project Director,
Post Tsunami sustainable Livelihoods Programme
100, Anna Salai, Guindy, Chennai 600032,
PH No. 044 – 22200008.
(Web: www.ptslp.org, email : tnifad@yahoo.com)

**For Principal Secretary /
Project Director**

**Inviting Proposals for Expression of Interest by Auditors for Internal
Audit of accounts of IFAD assisted Post Tsunami Sustainable Livelihood
Programme
(Funded by IFAD, TamilNadu)**

1. Government of Tamil Nadu is implementing Post Tsunami Sustainable Livelihood Programme with the financial assistance of International Fund for Agricultural Development (IFAD).

2. Goal and Objectives of the Project:

The overall objectives of the project would be to build self reliant coastal communities, resilient to shocks and able to manage their livelihoods in a sustainable manner. This would be achieved via the immediate objective of developing viable enterprises and resource management systems owned and operated by poor men and women in the region affected by the Tsunami and supported by community and other appropriate institutions.

Project Components:

The following are the project components under which various activities have to be taken up:

For existing districts

- ❖ Coastal areas resource management
- ❖ Rural finance and risk transfer instruments
- ❖ Employment generation and skill training
- ❖ Community based sea safety and disaster management
- ❖ Programme Management

For additional districts

- ❖ Coastal areas resource management
- ❖ Rural finance and risk transfer instruments
- ❖ Central Project Management Unit

The Project Management Unit (PMU) of Post Tsunami Sustainable Livelihood Programme intends to engage an Internal Auditor for the FY 2017-18.

The engagement of the Auditor shall be made as per Quality Cost Based Service (QCBS) method mentioned in the latest RFP Guidelines of the IFAD, which are available at IFAD website i.e. www.ptslp.org

- The firm shall have a standing of at least ten years in the profession and should be empanelled with CAG for “Major Audits”. C.A. firm should be a firm having independent legal existence, registered under the applicable Act. [Submit proof of CAG Empanelment and Registration Certificate

- C.A. firm expressing interest should have an annual turnover of Rs 30 **Lakhs** or more in each of the last three financial years. [Relevant documents viz, Audited financial statements and a certificate from Chartered Accountant in support of satisfying the criteria should be submitted]
- Experience of having undertaken at least 2 similar assignments (Internal Audit Assignments) with Central/state Government/externally aided projects **in India**, in last 5 years.
- Experience of having undertaken at least 1 similar assignments (Internal Audit Assignments) with Central/state Government/externally aided projects **in Tamil Nadu**, in last 5 years.
- The Chartered Accountancy firm shall have at least 6 of chartered accountants / CA Partners. The break up details are (i) Partners of Firm i.e. number of full time chartered accountant partners (ii) paid chartered accounts of firm must be furnished.
- The Auditor should be familiar in local language – Tamil.
- The Head Office / Branch office must be in Tamil Nadu.
- The CA Firm and Partner/s shall neither be under a declaration of ineligibility for corrupt and fraudulent practices nor should they have been blacklisted by the ICAI, State Government/Central Government of India or any legal Institution of its agencies and the CA Firm should submit self-declaration for the same.
- Based on the above selection criteria, 6 Auditor Firms will be shortlisted & will be considered for inviting technical and financial proposal through RFP (Request for proposal) by the PMU with detailed and specific Terms of Reference (TOR) and Request for Proposal (RFP) to submit comprehensive proposal.
- In case an Auditor Firm does not fulfil the eligibility criteria, its proposal will be rejected.
- The Project will not consider any subletting/outsourcing/joint venture proposal for Audit.
- Project Director, PTSLP has the right to revise terms of reference or scope of work.

- RFP will be sent to the short-listed Firms along with (RFP)/Terms of Reference (ToR). Final selection of the Auditor shall be based on evaluation of both Technical and Financial Proposals.
- The Format for submitting EOI is given below.
- The Auditor's ToR is given below.
- The Auditor should give a declaration that neither the firm nor the staff have any conflict of interest in accepting the assignment.
- The Auditor should not have been appointed for any other assignment – management consultancy, Internal auditor, accounting consultancy etc of the project.
- The Project Director, PTSLP reserves the right to modify any of the conditions while evaluating the Expression of the Interest and to accept or reject any/all applications without assigning any reason whatsoever.
- The interested Auditor Firms are requested to submit the proposal of Expressing of Interest in sealed envelope with superscript on it “**Eoi for Internal Audit of PTSLP – 2017 – 18**” not later than 30.06.2017, 04.00 pm on the address given below.

The Principal Secretary/Project Director,
Post Tsunami sustainable Livelihoods Programme
100, Anna Salai, Guindy, Chennai 600032,
PH No. 044 – 22200008.
(Web: www.ptslp.org, email : tnifad@yahoo.com)

IFAD assisted PTSLP

Invitation for Expression of Interest (EOI) from Chartered Accountants Firm

DETAILS OF CHARTERED ACCOUNTANTS FIRM

1. Name of the Firm :
2. Address of the Head Office :
3. Year of Establishment :
4. Nature of Constitution :
5. Firm Registration No with ICAI :
6. CAG empanelment No. :
7. PAN No. :
8. Service Tax Registration No. :

9. Partners Details as on 1.4.2016 in the record of ICAI (Photocopies to be enclosed):

Sl. No	Partner's Name, Age & Location	Membership No.	Qualification	Experience / Details of Work	Period of Partnership	Whether Partner sleeping or active
1.						
2.						
3.						
4.						
5.						

10. Details of Head Office and Branch Offices including offices in Tamil Nadu.

Sr. No	Location/Address	Since	Name of Branch Head	Phone No./ Fax No.	Email Address	Total No. of Staff		
						Qualified	Semi	Others
1.								
2.								
3.								
4.								
5.								

11. Audit Experience : Attach full details of all types of audit assignments undertaken in the last five years by the CA Firm (Such as CAG Audits, Social Audit, Statutory, Tax, Bank, etc.,)

12. Details of Relevant Experience in Audit of Externally Aided Projects in Development sector based in Tamil Nadu (Attach full details)

Sl. No	Department's Name	Name of the State/ Agency	FY of Audit	Assignments Details
1.				
2.				
3.				
4.				
5.				

13. Details of full time Staff- indicate separately for Head Office and Branches:

Sl. No	Head Office and Branches:	No.	Name	Designation	Qualification	Associated Since	Assignment Details
1.	Qualified Staff						
2.	Other Chief Executives						
3.	Semi Qualified Staff						
4.	Article Clerk						
5.	Other Audit Staff						
6.	Supporting Staff						

Enclosures:

1. Brief Profile of Firm
2. CVs of all Partners
3. CVs of Senior CA Firm Staff Members
4. Photocopy of Partnership Deed
5. Photocopies of Audited Financial Statements of last Three years
6. Photocopies of Status of Firm, Branch & Partners as on 01.04.2013 in the records of ICAI
7. Photocopies of CAG empanelment, PAN, Service Tax Registration Number
8. List of major assignments undertaken in last five years along with supporting documents.
9. Latest Constitution Certificate issued by ICAI
10. The Auditor should be empanelled with CAG – ICAI (Furnish necessary Certificate)
11. The Auditor should give a declaration that the neither the firm nor the staff have any conflict of interest in accepting the assignment.
12. The CA Firm and Partner/s shall neither be under a declaration of ineligibility for corrupt and fraudulent practices nor should they have been blacklisted by the ICAI, State Government/Central Government of India or any legal Institution of its agencies and the CA Firm should submit self-declaration for the same.

Date:

Seal of Organization _____

Place:

Authorized Signatory

Name:

Membership No.:

Status:

